



10th Anniversary

Nominee Showcase Presentation

ISE[®] West Executive Forum and Awards 2012

Company Name: Janus Capital Group
Project Name: Security Leadership: Balancing Risk and Business Value
Presenter: Amy Carroll
Presenter Title: Vice President, Operational Risk





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Company Overview

- Based in Denver, Colorado, Janus Capital Group Inc. is a leading asset manager offering individual investors and institutional clients complementary asset management disciplines through the firm's global distribution network.
- The company's asset-management disciplines include growth, core, international, value, risk-managed, alternative and fixed-income. These products are sold through advisors and financial intermediaries, to institutional investors and directly to retail investors.
- Total Employees: 1150
- Annual revenue: \$215.6m (Q4 2011)
- The Janus 14er Program supports Water for People



JANUS CAPITAL
Group





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Balancing Risk and Business Value

Accepting appropriate risk that provides value to the business, adheres to regulations, and maintains a strong security posture.





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Considerations

- Is the business value real and meaningful?
- What is the level of risk associated with the request?
- Are there alternative solutions with less risk?
- Are there controls that could be added to mitigate the risk?
- What is Industry Best Practice?
- Are there regulations that dictate the decision?



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Balancing Risk and Business Value "Skype"

Request:

Open Skype on the network to provide employees face time with their families when traveling for company business

Business Value:

Provide a level of work/life balance for employees that travel extensively for the company

Risk Assessment:

High Level of security vulnerabilities put the network at risk

Considerations:

- How are these exploits introduced - through Java and Flash
- Mobile devices -the majority of employees who travel use iPads
- iPad risk assessment – do not operate Java or Flash

Solution:

Allow use of Skype on the iPads through the 3G connection





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Balancing Risk and Business Value "Prezi"

Request:

Request to load Prezi on an employee desktop but it was not on the software approved list

Business Value:

Prezi is a cloud-based presentation software that greatly enhances presentation design

Risk Assessment:

To protect company confidential information a policy was set against running software on our network that allows cloud storage

Considerations:

- Can cloud storage be locked down – not at the network level, yes, at the desktop

Solution:

Configure Prezi to lock out cloud storage at the desktop



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Lessons Learned/Best Practices

- Business value has to be well defined.
- Risk assessments must contain impact, likelihood and control effectiveness scores.
- Balanced solutions have to satisfy both parties.
- Education and communication are key to cooperation.